

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917.402	07/27/2001	Henry A. Hill	11540-003001

CONFIRMATION NO. 2588

## FORMALITIES LETTER



\*OC000000006531185\*

ERIC L. PRAHL  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.
  - \$126 for 14 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 546.**

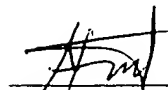
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

200510-2047560

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20051020 20421550



BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

#3

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

COPY OF PAPERS  
ORIGINALLY FILED

Marc M. Wefers is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Fish & Richardson P.C., to prepare and prosecute patent applications wherein the patent applicant is the client of Fish & Richardson P.C. and the attorney or agent of record in the applications is a registered practitioner who is a member of Fish & Richardson P.C. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Marc M. Wefers ceases to lawfully reside in the United States, (ii) Marc M. Wefers' employment with Fish & Richardson P.C. ceases or is terminated, or (iii) Marc M. Wefers ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 22, 2001

Harry I. Moatz  
Director of Enrollment and Discipline

NOV 5 1953  
MASS.  
U.S. POSTAGE  
149  
755238

FISH & CHILSON P.C.



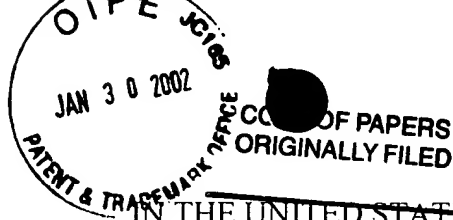
USPTO MAIL CENTER

JAN 30 2002

RECEIVED

Assistant Commissioner for Patents  
Washington, DC 20231

## Box Missing Tests



See for \$  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Henry A Hill et al.                      Art Unit : Unknown  
Serial No. : 09/917,402                              Examiner : Unknown  
Filed : July 27, 2001  
Title : MULTIPLE-SOURCE ARRAYS FOR CONFOCAL AND NEAR-FIELD  
MICROSCOPY

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed September 7, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional/multiple dependent claims fees of \$126;
- ☒ Payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Transmittal of Formal Drawings;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 5, 2001

Date of Deposit

Signature

*Laura Murphy*

Laura Murphy

Typed or Printed Name of Person Signing Certificate

2002-10-20 14:02:01 30002

Applicant : Henry A Hill et al  
Serial No. : 09/917,402  
Filed : July 27, 2001  
Page : 2



Attorney's Docket 11540-003001 / ZI-15 - Masks

COPY OF PAPERS  
ORIGINALLY FILED

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

11/2/01

Marc M. Wefers\* for  
Eric L. Prah  
Reg. No. 32,590

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

\*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. § 10.9(b).

20338018.doc

200510-2042T660



OFFICE OF PAPERS  
ORIGINALLY FILED

Attorney's Docket No. 11540-003001 / ZI-15 - Masks

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Henry A Hill et al.  
Serial No. : 09/917,402  
Filed : July 27, 2001  
Title : MULTIPLE-SOURCE ARRAYS FOR CONFOCAL AND NEAR-FIELD  
MICROSCOPY

Art Unit : Unknown  
Examiner : Unknown

**Attention: Official Draftsman**  
Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

Please substitute the enclosed 22 sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

10/4 11/5/01

Marc M. Wefers

Marc M. Wefers\* for  
Eric L. Prah  
Reg. No. 32,590

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

\*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. § 10.9(b).

20338022.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 5, 2001

Date of Depsit

Signature

Laura Murphy  
Laura Murphy

Typed or Printed Name of Person Signing Certificate